



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/886,466         | 06/22/2001          | Jun Takahashi         | 82086-0002             |

CONFIRMATION NO. 4966

HOGAN &amp; HARTSON L.L.P.

FORMALITIES LETTER

HOGAN & HARTSON LLP  
555 13th Street, N.W.  
Washington, DC 20004

AUG 27 2001



\*OC000000006423499\*

IP DOCKETING DEPT.

Date Mailed: 08/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 SZEWDIE1 00000039 09886466

01 FC:101 740.00 OP  
02 FC:105 130.00 OP



PATENT  
ATTORNEY DOCKET NO.: 82086-0002

SECT 18  
\$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )  
)  
Jun TAKAHASHI et al. )  
)  
Application No. 09/886,466 ) Group Art: Not yet assigned  
)  
Filed: June 22, 2001 ) Examiner: Not yet assigned  
)  
For: RECORDING SHEET )

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

This is in response to the Notice to File Missing Parts, dated August 14, 2001, in the above-identified application.

1. Combined Declaration and Power of Attorney  
☒ Enclosed.  
This application was filed without an executed Declaration and Power of Attorney.
2. Assignment  
☒ An assignment of the invention to Sony Chemicals Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.  
☐ An assignment will be filed at a later date.

3. Fee Calculation (37 C.F.R. § 1.16)

| CLAIMS FOR FEE CALCULATION                               |              |              |                |  |
|--|--------------|--------------|----------------|--|
|  | Number Filed | Number Extra | at Rate of     | Basic Fee<br>Utility \$740.00<br>Design \$330.00 |
| Total Claims<br>(37 C.F.R. §1.16(c))                     | 11 - 20 =    | 0            | \$ 18.00 each= | +\$ 0.00   |
| Independent Claims<br>(37 C.F.R. §1.16(b))               | 1 - 3 =      | 0            | \$ 84.00 each= | + \$ 0.00  |
| Multiple dependent claim(s), if any (37 C.F.R. §1.16(d)) |              |              | \$ 280.00      | +  |
| SUB-TOTAL =  |              |              |                | \$ 740.00  |
| Surcharge under 37 C.F.R. § 1.16(e)                      |              |              | \$ 130.00      | \$130.00   |
| Reduction by 1/2 for filing by a small entity            |              |              |                | -\$  |
| TOTAL FILING FEE =                                       |              |              |                | \$ 870.00  |

4. Fee Payment

- ☒ Enclosed.  
A check in the amount of \$870.00 is enclosed for the \$740.00 filing fee and the \$130.00 surcharge as set forth in 37 C.F.R. 1.16(e).
- ☒ If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.
- ☐ Authorization is given to charge \$\_\_\_\_\_ to Deposit Account 50-1349 for the fees due as specified above.

5. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3)

6.

Other

- ☐ Information Disclosure Statement
- ☐ PTO-1449, \_\_ documents as listed
- ☐ Preliminary Amendment
- ☒ Claim for Priority
- ☒ Certified copy of Priority Document
- ☐ Sequence Listing
- ☒ Formal drawings (4 sheets)

If there are any questions regarding the above-captioned patent application, please contact applicant's representative at the number given below.

Respectfully submitted,

**HOGAN & HARTSON LLP**

Dated: October 12, 2001

**HOGAN & HARTSON LLP**

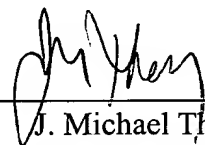
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